



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: McCarthy, *et al.*
SERIAL NO: 10/762,928
FILED: January 22, 2004
EXAMINER: Salimi ART UNIT: 1648
FOR: In Vitro Method for Disassembly/Reassembly of Papillomavirus Virus-Like Particles (VLPs) Homogeneous VLP and Capsomere Compositions Produced by Said Methods; Use Thereof as Vehicle for Improved Purification, and Delivery of Active Agents
ATTY DKT NO.: 469201-716 CUSTOMER NO.: 27162

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

Pursuant to 37 C.F.R. §1.56(a), Applicant(s) hereby cite(s) the enclosed documents listed on the attached copy of Form PTO-1449, which may be material to the patentability of the above-identified application. Applicant(s) make no admission that the cited references are prior art or that these references are in fact material to the patentability of the above-entitled application.

This Information Disclosure Statement is filed in accordance with the paragraph of 37 CFR §1.97 indicated below:

X §1.97(b) This Information Disclosure Statement is filed:

- (1) Within three months of the filing date of a national application; OR
- (2) Within three months of the date of entry of the national stage of an international application; OR
- (3) Before the mailing of a first Office Action on the merits.
No fee or statement is required.

____§1.97(c) This Information Disclosure Statement is filed after the period specified in paragraph (b) above, but before the mailing date of either:

- (1) A Final Action or under 37 CFR §1.113; OR
- (2) A Notice of Allowance under 37 CFR §1.311; AND

is accompanied by either: (check one)

- ____ The statement as specified in 37 CFR §1.97(e) set out below;
OR
____ The fee of \$180.00 under 37 CFR §1.17(p).

____§1.97(d) This Information Disclosure Statement is filed after the mailing date of either:

- (1) a Final Action or under 37 CFR §1.113; OR
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BUT filed on or before payment of the Issue Fee; AND
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- (1) The statement as specified in 37 CFR §1.97(e) as set forth below; AND
- (2) Petition is hereby made under 37 CFR §1.97(d) for consideration of this Information Disclosure Statement; AND,
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____§1.97(e) The undersigned Attorney hereby states that:

____ each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement;

or

____ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the undersigned Attorney after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

The Examiner is respectfully requested to consider and make of record all of the cited documents.

The Commissioner is authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 03-0678.

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I hereby certify that this correspondence is being deposited on the date set forth below with the U.S. Postal Service as First Class Mail, postage prepaid, in an envelope addressed to:

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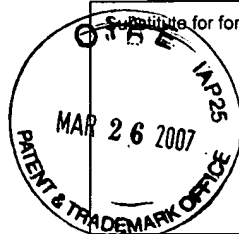
 3/22/07
Raymond J. Lillie Date

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Respectfully submitted,


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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 Of 1

Complete if Known

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| Application Number | 10/762,928 |
| Filing Date | January 22, 2004 |
| First Named Inventor | McCarthy |
| Group Art Unit | 1648 |
| Examiner Name | Salimi |
| Attorney Docket Number | 469201-716 |

U.S. PATENT DOCUMENTS

| Examiner Initial* | Cite No. ¹ | Document Number Number-Kid Code ² (if known) | Publication- Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-------------------|-----------------------|--|---------------------------------|--|---|
| | | US-6,066,324 | 5/23/2000 | Gissmann, <i>et al.</i> | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Cite No. ¹ | Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known) | Publication- Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
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